



Characteristics of damage to buildings by debris flows on 7 August 2010 in Zhouqu, Western China

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Abstract. A debris-flow catastrophe hit the city of Zhouqu, Gansu Province, western China, at midnight on 7 August 2010 following a local extreme rainfall of 77.3 mm h^{-1} in the Sanyanyu and Luojiayu ravines, which are located to the north of the urban area. Eight buildings damaged in the event were investigated in detail to study the characteristics and patterns of damage to buildings by debris flows. It was found that major structural damage was caused by the frontal impact of proximal debris flows, while non-structural damage was caused by lateral accumulation and abrasion of sediment. The impact had a boundary decreasing effect when debris flows encountered a series of obstacles, and the inter-positioning of buildings produced so-called back shielding effects on the damage. Impact, accumulation, and abrasion were the three main patterns of damage to buildings in this event. The damage scale depended not only on the flow properties, such as density, velocity, and depth, but also on the structural strength of buildings, material, orientation, and geometry. Reinforced concrete-framed structures can effectively resist a much higher debris-flow impact than brick-concrete structures. With respect to the two typical types of structure, a classification scheme to assess building damage is proposed by referring to the Chinese Classification System of Earthquake Damage to Buildings. Furthermore, three damage scales (major structural, minor structural, and non-structural damage) are defined by critical values of impact pressure. Finally, five countermeasures for effectively mitigating the damage are proposed according to the on-site investigation.

1 Introduction

Human lives and constructions in debris-flow prone mountain areas are regularly subject to debris-flow hazards. In recent decades, many debris-flow tragedies have been reported all over the world (Pierson et al., 1990; Wu et al., 1993; Wieczorek et al., 2001; Zaporozhchenko, 2003; Zanchetta et al., 2004; Evans et al., 2009). For instance, a large-scale debris flow occurred at Liziyyida Ravine, a tributary of the Dadu River in Sichuan Province, China, on 9 July 1981 and destroyed a railway bridge, leading to the overturning of a moving train and 275 deaths (Wu et al., 1993). Another well-known tragedy was in the Vargas State of Venezuela in December 1999 (Wei et al., 2000; Wieczorek et al., 2001). Two densely populated towns completely disappeared, and the population of Vargas decreased by 10 % during this event.

The elements exposed to debris-flow hazards include structures and infrastructures such as highways, railways, mines, and reservoirs. Compared to strong structural facilities such as railway bridges, common civil structures are more easily damaged by debris flows. Wei et al. (2000) reported that a ten-storey reinforced concrete building was partly destroyed by the debris flows of 1999 in Vargas. Some specific cases of building damage by debris flows were investigated, such as the disaster of July 1988 in Kake Town, Hiroshima Prefecture, Japan (Mizuyama and Ishikawa, 1990), the lahars of 13 November 1985 in Nevado del Ruiz, Colombia (Mileti et al., 1991), and the volcaniclastic flows of May 1998 in the Sarno area, Italy (Toyos et al., 2003; Zanchetta et al., 2004). Progress has been made in quantifying the vulnerability of buildings to debris flows (Fuchs et al., 2007; Haugen and Kaynia, 2008; Totschnig et al., 2011; Quan Luna

et al., 2011; Jakob et al., 2012). Fuchs et al. (2007) derived an empirical intensity-vulnerability relationship from data of the 16 August 1997 debris-flow event in the Austrian Alps. Haugen and Kaynia (2008) proposed a model for assessing the vulnerability of structures to debris-flow impact by referring to HAZUS damage state probabilities and tested it by applying it to the debris-flow events of May 1998 in the Sarno area. Quan Luna et al. (2011) obtained three different empirical vulnerability curves as functions of debris-flow depth, impact pressure, and kinematic viscosity by numerical modelling and a physical damage investigation of the Selvella debris-flow event that occurred in the central part of the Valtellina Valley, Northern Italy. Jakob et al. (2012) defined four damage classes, from minor sedimentation to complete building destruction, and related them with an intensity index represented by the impact force of debris flows. Although these empirical relationships can be applied in practice to some extent, most of the previous studies have focused on empirical relationships between the hazard magnitude and the respective degree of loss that was caused by recent events. As a result, the proposed empirical vulnerability functions do not consider the particular characteristics of buildings at risk, such as their geometry, layout, and geographic position.

On 7 August 2010, a destructive debris flow hit the city of Zhouqu, Gansu Province, western China. A total 1765 fatalities were claimed, 33 buildings with a total area of 11 472 m² were completely destroyed, and 20 buildings were partially damaged. Most deaths and property losses were associated with the damage to buildings. In this paper, the characteristics and patterns of building damage in the Zhouqu event, investigated by the method of field reconnaissance and remote sensing, are described to provide useful information for vulnerability analysis and future urban planning. Finally, a classification scheme for assessing building damage is proposed with respect to brick-concrete and reinforced concrete structural buildings, which are the most common structures in the mountain areas of China.

2 Study area

The study area is located in Zhouqu County, Gansu Province, western China, including the Sanyanyu and Luojiayu catchments, which are tributaries on the northern of the Bailong River (Fig. 1). The area features alpine mountains and deeply incised canyons, with an elevation between 1200 and 4200 m a.s.l. A 1.2-km² ancient alluvial fan formed by floods and debris flows at the mouth of the Sanyanyu and Luojiayu is the largest flat area in the county, and was therefore chosen as the location of the capital of Zhouqu County. As a result, debris-flow hazards from the two catchments may directly threaten the urban area of the capital. The basic morphologic parameters of the two catchments are listed in Table 1.

The area is located in a highly active earthquake zone where six earthquakes with $M_s > 7.0$ have been recorded

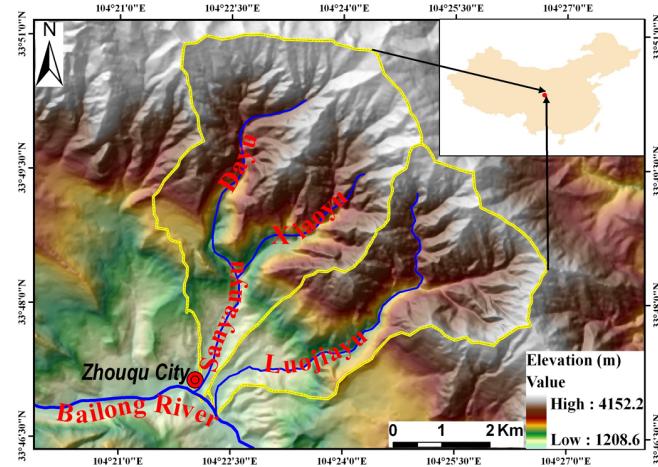


Fig. 1. Location of the study area (Dayu and Xiaoyu are two main branches of the Sanyanyu).

in history. Three active east–western faults pass through the area. Exposed rocks consist of phyllite, limestone, shale, and slate, which are easily transformed into clay and detritus by weathering. The quaternary strata are composed of river terraces, lacustrine, colluvial, and loess deposits. The climate is warm and semi-humid, influenced by the East Asian monsoon. The annual rainfall is 434 mm, approximately 75 % of which is concentrated between May and October. Torrential and moderate rains with high intensity often occur in the flood season. The highest recorded 24-h and 1-h rainfall intensities before the 7 August 2010 event were 62.9 mm and 40.7 mm in 1994. Under such physiographic, geological, and climatic settings, Sanyanyu and Luojiayu are regularly prone to debris flows; 11 destructive events occurred in the 20th century. However, such large-scale debris-flow events as on 7 August 2010 were unexpected by local residents.

3 The 7 August 2010 event

The debris flows occurred at approximately 23:35 LT on 7 August 2010 and were presumably triggered by a local rainstorm, with 77.3 mm of rainfall between 23:00 and 24:00 LT and 96.7 mm accumulated rainfall from 20:00 LT on 7 August to 04:00 LT on 8 August (Qu et al., 2010; Zhao and Cui, 2010). Field investigation and satellite image interpretation indicated that the debris flows were initiated by the upstream torrent. The main debris sources were avalanches, landslides, colluvium, and weathered rock. Large slope gradients and narrow downstream channels resulted in high mobility of debris flows. More importantly, a cascade of natural rock-filled dams and silt-trapping dams burst and significantly increased the discharge of the debris flow in the Sanyanyu up to 1485 m³ s⁻¹ and the event magnitude up to 2.2 million m³. The density was estimated as 2000 kg m⁻³ by

Table 1. Basic morphologic parameters of Sanyanyu and Luojiayu catchments.

	Catchment area (km ²)	Average gradient of main channel (%)	Mainstream length (km)	Elevation summit (m)	Elevation outlet (m)	Relative relief (m)
Sanyanyu	25.75	24.1	10.4	3828	1340	2488
Luojiayu	16.14	25.8	9.5	3780	1320	2460

analysing sediment samples taken from debris-flow deposits two days after the event (Hu et al., 2010).

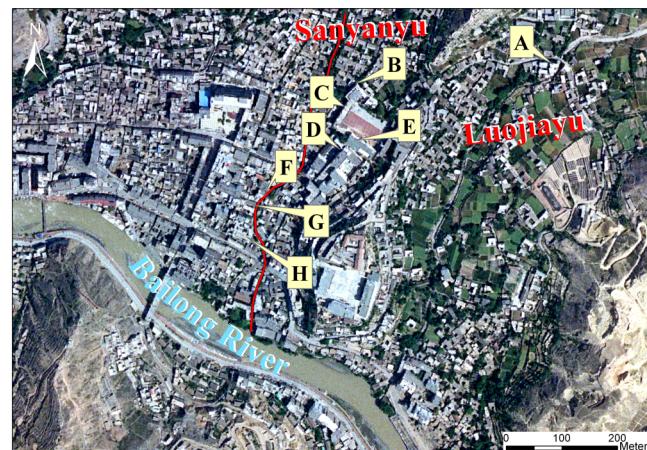
The debris flows hit three suburban villages and the densely populated zone of the city. Subsequently, the debris flowed into Bailong River and formed a 1.2-km-long dam completely blocking the river (Fig. 2), inundating nearly one-third of the urban area. As of 1 September 2010, the disaster has affected 4496 families and resulted in 1471 dead and 294 missing persons. The event also destroyed 233.4 acres of farmland and damaged 53 buildings, making it the severest debris-flow disaster since 1949.

Most of the buildings on both sides of the channel were buried or completely destroyed (Fig. 2). Eight surviving buildings were chosen as study cases. Although severely damaged, part of information on the damage can be recovered from the photos. The buildings represented three kinds of structure (brick-concrete, reinforced-concrete frame, and brick masonry), two of which are common in the mountain areas of China (Table 2).

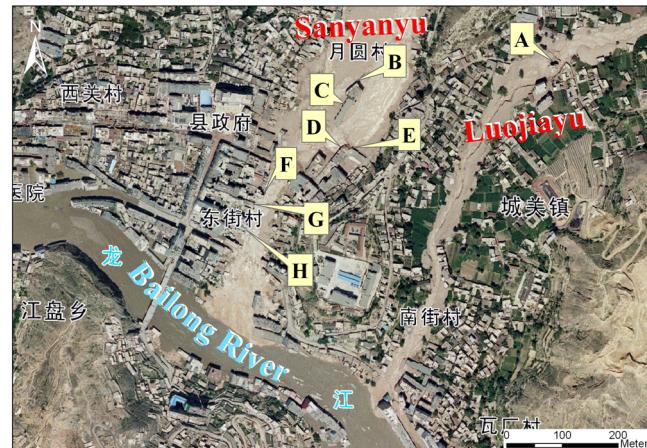
4 Characteristics and mitigation of building damage

4.1 Damage characteristics

Damage to the eight buildings was characterized by four features: frontal impact, dominant in the proximal portion of debris flows; accumulation and abrasion, dominant in the distal portion of debris flows; the boundary decreasing effect; and the back shielding effect. The most severe damage occurred to buildings that were located around the main streamline and impacted by proximal debris flows in which most of the mass and energy was concentrated (Fig. 3). For example, buildings A, B, and E were located in the centre of the flooded areas. The bottom two and half storeys of building A at Luojiayu were partially destroyed by the impacting forces, and then the remaining upper floors collapsed (Fig. 3a). Building B was located 60 m north of building E. Both were heavily damaged by the direct impact of the main flows at an angle of approximately 90°. In these cases, the damage due to frontal or orthogonal impact is far greater than that due to accumulation and abrasion. Moreover, the scale of damage the two buildings experienced is different and is related to their configuration. Building E was toppled and pushed 18 m downstream (Fig. 3c), whereas only the upstream wall and corridor of building B were destroyed.



(a)



(b)

Fig. 2. Damage to buildings in Zhouqu city by the debris flows on 7 August 2010. (a) ALOS satellite image on 10 March 2010. (b) Aerial photo on 10 August 2010 published by the National Administration of Surveying, Mapping and Geoinformation. The red solid line in (a) indicates the drainage channel before the event. The labels A–H indicate the locations of the eight buildings presented in this case study. Note that a large deviation is seen between the location of Building E in (a) and (b) because the building was moved downstream by debris flows.

Besides stronger foundations, building B's configuration enabled it to resist the impact better than building E. The longitudinal orientation of E was normal to the main flow direction and had a larger contact area, which greatly increased

Table 2. Description of damage to the eight buildings by debris flow.

Building	Style	Structure	Damage	Catchment
A	Seven-storey residential building	brick-concrete	Structural damage: two and half storeys at the bottom were destroyed, and the left storeys collapsed	Luojiayu
B	Six-storey residential building	reinforced-concrete frame	Structural damage: the front walls and two sides of this building were partially damaged	Sanyanyu
C	Old four-storey classroom building of Chengguan primary school	reinforced-concrete frame	Non-structural damage: the first storey was completely buried by depositional debris	Sanyanyu
D	Six-storey residential building	brick-concrete	Structural damage: the whole building collapsed and the bottom four storeys disappeared	Sanyanyu
E	New six-storey classroom building of Chengguan primary school	reinforced-concrete frame	Structural damage: the foundation was undermined and the whole building toppled	Sanyanyu
F	Four-storey residential building	reinforced-concrete frame	Structural damage: the three storeys at the bottom were destroyed, but the top storey did not collapse	Sanyanyu
G	Two-storey residential building	brick masonry	Non-structural damage: the front and side walls were destroyed	Sanyanyu
H	Three rows of five-storey terraced buildings	reinforced-concrete frame	Structural damage: part of the beams and columns of the building framework were broken, but the buildings did not fall down	Sanyanyu

the gravity moment of the building. A larger contact area results in a greater impact force, and a smaller gravity moment reduces the ability of the building to resist overturning. The mud trace on building B's wall indicated that the maximum flow depth and run-up height were approximately nine metres (three storeys high) and six metres (two storeys high), respectively (Fig. 3b). The flow velocity before impact was estimated as 10.84 m s^{-1} , according to the kinetic-potential energy transformation formula $v = \sqrt{2gh}$, where g is the acceleration due to gravity and h is the run-up height. Then, the maximum impact pressure exerted on building B at the stagnation point was roughly estimated to be $2.44 \times 10^5 \text{ Pa}$ by using $P = \rho v^2 + 0.5 \rho g H$ (Zanchetta et al., 2004), where P is the impact pressure, ρ is the debris-flow density, and H is the flow depth.

When the main flow direction is parallel to the contact face or the building is impacted by distal debris flows, the damage is dominated by lateral abrasion or accumulation. As such, the sidewall of building B was abraded, but only the windows and doors were destroyed (Fig. 4a). Damage caused by lateral accumulation and abrasion is far smaller than that caused by frontal impact, because the velocity component along the main stream is higher than the lateral or transverse component. Building F, a four-storey residential

building with a reinforced-concrete frame, was obviously stronger than building G, an old two-storey brick masonry building. However, the protruding portion of building F was destroyed under the frontal impact of proximal debris flows, while the structure of building G had no damage, only being buried half a storey deep by distal debris flows (Fig. 4b–c). The first two features suggest that the vulnerability has a clear dependence on the debris-flow portions. For example, Michael-Leiba et al. (2003) distinguished the damage by proximal debris flows from that by distal debris flows and defined the vulnerabilities for elements at risk susceptible to proximal and distal debris flows by values of 1 and 0.1, respectively.

The third noticeable feature was the longitudinal boundary decreasing effect. It is evident in the damage to building H, three rows of five-storey terraced buildings with reinforced-concrete frames (Fig. 5). The total length of building H was 24 m (Fig. 4c). Debris flows destroyed three storeys of the first row and two storeys of the second row. However, for the third row, no pillars were destroyed and only the first storey was buried. The losses decreased by one-third progressively per 8 m along the boundary of building H. That means the energy consumed by destroying the pillars of the first two storeys reduced the impact force of the flow. After impacting



the front two rows, the flow bodies on the boundary decelerated and did little harm to the last row. However, the flows destroyed a building 20 m downstream of building H. This shows that the boundary part of the flows can gain kinetic energy from gravitational energy and the central part when

the flows keep moving downstream for a distance without any boundary interferences. In practice, it is very difficult to quantify the longitudinal boundary decreasing effect.

If a building's size is large enough, it can disturb the flow field. A buffer zone forms behind the building where flow velocity and depth are far smaller than in the undisturbed zone.



Fig. 5. Differing extent of damage to building H by longitudinally decreasing impact force.

Thus, buildings in the buffer zone suffer less damage than those in the undisturbed zone. This feature, called the back shielding effect, is illustrated in Fig. 6a, which shows that building C, next to the heavily damaged building B, suffered no damage apart from its first storey being buried. However, the outcome of the back shielding effect depends on the quality of the upstream building. If the upstream building is not strong enough, secondary damage may occur. The collapsed building E is a failed case of the shielding effect. Building E was initially knocked down by debris flows because its foundation was not good, and then it struck one side of building D, a six-storey residential building behind E, toppling D instead of protecting it. The bottom four floors of D were pushed down like stacked dominoes because the building did not have a reinforced concrete frame structure and was unable to withstand horizontal thrust. The walls between any neighbouring two of the bottom four floors collapsed, and the floors were displaced downward by approximately two metres. Only the top two floors were relatively unaffected (Fig. 6b). In contrast to the shielding effect, the protruding part of a building is more easily damaged, as shown by building F (Fig. 4b).

4.2 Mitigation

Debris flow, a rapid two-phase flow with a high sediment concentration, is very different from other mountain hazards such as torrential flood, snow avalanche, and rock falls. The damage patterns or ways to civil constructions due to the hazards are also different, and necessary to special mitigation actions. On the basis of the hazard characteristics, Spence et al. (2004) proposed four mitigation measures against pyroclastic flow, namely evacuation, remaining indoors, reducing infiltration, and reinforcing openings. Holub et al. (2012) studied protection measures for a residential building to resist impact forces experienced with respect to fluvial sediment transport related to torrents and snow avalanches. For



(a)



(b)

Fig. 6. Back shielding effects. (a) Building C. (b) Building D. Building D was knocked down by the collapse of building E, a case of a failed shielding effect.

debris flow, the fluid component exerts hydrostatic and dynamic pressure on the surface of obstacles, while the solid component collides with and abrades obstacles at the contact surface. A debris flow is also a non-Newtonian fluid that has non-zero yield stress and deposits material on a gentle slope. Corresponding to these characteristics, there are three main damage patterns, i.e. impact, accumulation, and abrasion. Moreover, different types of debris flows have their own damage patterns. Mileti et al. (1991) gave an instance of oxidation of metallic elements due to the low PH of the fluids in the lahar of Nevado del Ruiz, Colombia, in 1985. However, for rainfall-induced debris flows such as at Zhouqu, there are no such thermal or chemical damage patterns. Our proposed countermeasures are specific to rainfall-induced debris flows and densely populated towns, and only address the damage patterns of impact, accumulation, and abrasion.

Corresponding to the characteristics and patterns illustrated in the Zhouqu event, five effective countermeasures for mitigating damage to buildings are proposed: (1) reduce the contact surface normal to the flow direction by aligning the long axis of the building with the flow; (2) use a reinforced

Table 3. Classification of damage to civil architecture by debris flow.

Damage class	Damage description		Damage scale	Impact pressure (kPa)	
	Brick-concrete	Reinforced-concrete frame		Brick-concrete	Reinforced-concrete frame
Complete	Less than 50 % of the building survives, or two or more storeys are buried	Less than 50 % of the building's framework survives, or two or more storeys are buried	I: Major structural damage or loss of functionality	> 18	> 110
Heavy	A majority of bearing walls collapse, most walls are broken, part of the roof falls in, or more than one storey is buried	A majority of columns and beams are broken, most walls are broken, part of the roof falls in, or more than one storey is buried			
Moderate	A minority of bearing walls are damaged, some walls are broken, or less than one storey is buried	A minority of columns and beams are damaged, some walls are broken, or less than one storey is buried	II: Minor structural damage, loss of some functionality, could be repaired with major effort	6–18	35–110
Slight	Only nonbearing walls are partially damaged, or less than half a storey is buried	Columns and beams are intact, only some walls are partially damaged, or less than half a storey is buried	III: Non-structural damage and reusable	0–6	0–35
Very slight	Nonbearing and bearing walls are intact, only windows and doors are damaged, some sediment accumulation on the ground	Columns, beams, and walls are intact; only windows and doors are damaged; some sediment accumulation on the ground			

concrete structure; (3) avoid protruding parts in the design, as far as possible; (4) allow a sufficient distance between two buildings in the transverse direction, and place them closer together along the main flow direction; (5) avoid using the first floor of high buildings as living or business space. The first four measures are mainly related to the configuration, structure, position relative to the central flow, and topology of buildings. Structural protection for individual buildings, such as the incorporation of additional constructional elements (Holub et al., 2012), is beyond the scope of this paper. The fifth measure is motivated by the fact that most casualties and losses were sustained on the first and second floors.

5 Classification of building damage

In order to evaluate building damage due to debris-flow hazards, it is necessary to establish a system of classifying building damage. However, damage depends not only on the properties of the flow, such as density, velocity, and depth, but also on the building's orientation, structural strength, and regularity. Compared with brick-concrete buildings, which have little resistance to horizontal thrust, buildings with reinforced concrete frames can resist much greater debris-flow impact and hence suffer less damage. Thus, it is impossible to compare different damage to different structures under a unique standard because of the complexity of the buildings' structure and material. Here, for simplicity, two

building structures, brick-concrete and reinforced-concrete frame, both typical in China's mountainous areas, are considered. Concerning these two structures, a classification scheme is proposed with reference to China's Classification System of Earthquake Damage to Buildings (Table 3). The scheme defines five damage classes: complete, heavy, moderate, slight, and very slight.

Impact force is the most important index for assessing damage to buildings by debris flows. Zanchetta et al. (2004) investigated building damage in the 5–6 May 1998 volcanoclastic debris flows in the Sarno area and found that only minor damage was caused to structures when the impact pressure was lower than 35 kPa. Wei et al. (2006) demonstrated by impact experiments that the ultimate bearing pressure of a reinforced concrete frame building is 110.56 kPa and that of brick-concrete is 18.22 kPa. According to previous classifications (Toyos et al., 2003; Zanchetta et al., 2004; Jakob et al., 2011), the five classes were reduced to three damage scales that can be roughly quantified by the results of Zanchetta et al. (2004) and Wei et al. (2006). The values of the ultimate bearing pressure serve as the impact pressure lower bound of scale I for each of the structures. The lower bound of scale II for the reinforced-concrete frame is set to 35 kPa. Given that the brick-concrete strength is proportional to the reinforced-concrete frame, the lower limit of scale II for the brick-concrete structure is also set to one-third of its ultimate pressure (Table 3).

The pressure limit of scale I on reinforced-concrete structures is higher than proposed by other documents. Valentine (1998) related damage to structures by pyroclastic flows with nuclear weapons experiments and indicated that 35 kPa was the upper limit for any kind of structure. Wilhelm (1998) and Barbolini et al. (2004) assigned a vulnerability of 1.0 at 34 kPa for snow avalanches. As mentioned above, different hazards have different damage patterns and characteristics. For example, thermal damage by pyroclastic flows or loads on roofs by snow avalanches play an important role, together with impact, and may effectively reduce the horizontal pressure limit buildings can resist. The vulnerability function derived from debris-flow numerical modelling reaches 1.0 at 37.49 kPa (Quan Luna et al., 2011). However, On the basis of field investigation, Zanchetta et al. (2004) concluded that most buildings are completely devastated when the impact pressure is higher than 90 kPa, which is close to the experimental result of Wei et al. (2006). It is possible that the impact pressure obtained from the field investigation or experimental measurement is higher than that calculated by the numerical model because the former reaches the maximum impact pressure at some points.

6 Conclusions

Damage to civil structures by debris flows causes considerable casualties and property loss. A feasible model of quantitatively assessing such damage is still under development because many factors such as a building's structure, material, and geometry, strongly influence the damage it experiences. More case studies can help people understand the characteristics and patterns of damage to buildings and help develop a quantitative vulnerability assessment model for debris-flow hazards. The Zhouqu debris flows on 7 August 2010 damaged several buildings with different structures and provided valuable information on building damage. Qualitative analysis of eight typical buildings damaged in the event shows that the damage to buildings has four characteristics: frontal impact by proximal debris flows, lateral accumulation and abrasion by distal debris flows, the boundary decreasing effect, and the back shielding effect. Impact, accumulation, and abrasion were the three main patterns of damage to buildings in this event, and they occurred concurrently in some cases. Reinforced concrete structures can effectively resist much greater debris-flow impact than brick-concrete structures. With respect to these two structures, five damage classes, ranging from very slight to complete, were defined on the basis of the extent of structural damage or accumulation. The five classes were divided into three damage scales, which were quantified by different critical values of impact pressure for brick-concrete and reinforced concrete structures.

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