Performance based design of reinforced concrete beams under impact

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Abstract. The purpose of this research is to collect fundamental data and to establish a performance-based design method for reinforced concrete beams under perpendicular impact load.

Series of low speed impact experiments using reinforced concrete beams were performed varying span length, cross section and main reinforcement.

The experimental results are evaluated focusing on the impact load characteristics and the impact behaviours of reinforced concrete beams. Various characteristic values and their relationships are investigated such as the collision energy, the impact force duration, the energy absorbed by the beams and the beam response values. Also the bending performance of the reinforced concrete beams against perpendicular impact is evaluated.

An equation is proposed to estimate the maximum displacement of the beam based on the collision energy and the static ultimate bending strength. The validity of the proposed equation is confirmed by comparison with experimental results obtained by other researchers as well as numerical results obtained by FEM simulations. The proposed equation allows for a performance based design of the structure accounting for the actual deformation due to the expected impact action.

1 Introduction

Many mountainous areas in Japan present severe risks due to natural hazards as rockfall, frequent earthquakes, landslides and avalanches. About 70% of the land has a steep slope and is exposed to frequent rain or snow. Protection measures against rockfall are among the most important measures in preventing incidents, as the one illustrated in Fig. 1. Therefore, many protective structures have been constructed in mountainous areas (Masuya, 2005; Japan Road Association, 2000; Japan Railway Civil Engineering Association, 1978). The dynamic behavior of these structures under impact is generally very complex and closely related to the type of structure and the characteristic of material used. Furthermore, there are still difficulties in the design of the protective structures because many problems concerning the structural impact behavior and dynamic material properties of concrete, steel and of the sand cushion layer are unsolved yet. The subcommittee of impact problems of JSCE (2004) had therefore designated the examination of impact experimental and analysis methods as one of the priorities for standardization. The committee aimed for proposing a general impact test and measurement method and for showing the efficiency of numerical methods to reproduce the dynamic behavior of the structures. Delhomme et al. (2005) performed impact experiments of a rockfall protection structure with a special structural energy dissipating system. Schellenberg (2007, 2009) showed a physical numerical model to express the interaction between a rockfall and the structure based on experiments. The present study provides experimental data concerning the dynamic behavior of protection structures to a higher level of damage. Several impact experiments have primarily been performed to study the dynamic behavior of structural members such as reinforced concrete beams. The experiments clarified the fundamental knowledge about experimental and measuring methods (Yamamoto et al., 2001; Nakata et al., 2002; Kishi et al., 2003; Tachibana et al., 2006). The final purpose of this study is to establish a methodology for the performance based design of structures (Subcommittee concerning performance based design of structures against impact action of JSCE, 2007).
Fig. 1. Rockfall event on road N364, Kaga, December 2004.

Rock sheds are some of the protection structures subjected to impacts. Their roofs are made of either slab or beam structures. Generally, a layer of cushion material is used as shock absorber on top of the roof. As a result, compared with other dynamic actions, rockfall is in many cases a slow impact. Therefore, for rock sheds bending failure of the beams becomes dominant in most of the cases. For the prestressed concrete rock sheds, Masuya and Yamamoto (1999) showed design load factors for the expected limit states including bending failure. Sonoda (1999) showed the ultimate limit states of rock sheds and proposed a design method by means of a simple motion model expressing the bending failure.

In this research the impact behaviours of a reinforced concrete beam was studied evaluated for such bending failures.

Experiments on reinforced concrete beams were performed with the purpose of collecting fundamental data to establish a performance based design method for protection structures under impact loading. The characteristics of reinforced concrete beams under impact loading are shown. Further, it is suggested to use an evaluation method predicting the maximum beam displacements based on the velocity of the impacting weight and the static ultimate bending capacity of the reinforced concrete beam.

2 Impact experiments

2.1 Specimen

Several series of impact tests were carried out using various reinforced concrete beams with shear reinforcement. Details of the beams and the reinforcement arrangement are shown in Fig. 2. Table 1 shows the design values of the different specimen.

All beams have rectangular sections with the main reinforcement arranged at the top and bottom sides and a shear reinforcement of 6-mm diameter (D6). The main reinforcement is welded to steel plates at the beam ends. The beam types A, C and D have the same sections with a width of 150 mm and a height of 250 mm. For types A1, A2 and A4, the span length is 1000 mm, 2000 mm and 4000 mm, respectively. For the beams type A, B and E, the diameter of the main reinforcement is 13 mm (D13). Diameter bars of 16 mm (D16) are used for type C and diameter 10 mm (D10) for types D and F.

The design strength of concrete is 24 MPa. The yield stress of the reinforcement is 345 MPa for the bending bars and 295 MPa for the stirrups, respectively. The static ultimate bending capacities \( P_u \) of the beam types B, F and A2 are comparable, while bending capacities of the beam types C and E are larger and the one of type D is smaller. The ultimate shear capacity \( V_u \) in all beams is larger than the ratio of capacity \( \gamma = (V_u / P_u) > 1 \). Namely, the bending failure is preceding the shear failure for static load in all cases.

2.2 Test setup

Figure 3 shows the apparatus used for the falling weight impact experiments. The reinforced concrete beams are impacted by a steel weight, which is dropped from a specific height. The weight used in the experiments have a curved
Table 1. Design values of reinforced concrete beams.

<table>
<thead>
<tr>
<th>Beam type</th>
<th>Width x height x span (m)</th>
<th>Diameter of bending reinforcement</th>
<th>Ultimate bending capacity $P_u$ (kN)</th>
<th>Ultimate shear capacity $V_u$ (kN)</th>
<th>Ratio of capacities $\gamma = (V_u/P_u)$</th>
<th>Bending stiffness $EI_u$ (kN m$^2$)</th>
<th>Natural period $T_0$ (ms)</th>
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<tr>
<td>A1</td>
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<td>D13</td>
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<td>1.4</td>
<td>5729</td>
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<td>D13</td>
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<td>10.0</td>
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<td>D13</td>
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<td>5.5</td>
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</tr>
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<td>65.9</td>
<td>2.1</td>
<td>2412</td>
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<td>1.9</td>
<td>6088</td>
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<td>F</td>
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<td>D10</td>
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<td>140.6</td>
<td>4.0</td>
<td>21590</td>
<td>6.5</td>
</tr>
</tbody>
</table>

Fig. 3. Apparatus used for experiments.

contact surface with a length of 565 mm, a radius of 75 mm and masses of 150 kg, 300 kg or 450 kg. The weights fall along two guiding rails. Special tie-down steel frames were installed on both supports preventing the beam from bouncing off the supports. Measured items were the impact force, the reaction forces at the supports, displacements of the beam and strains in the reinforcement. Measurements and their positions are shown in the Fig. 4. All output data was recorded with a rate of 20 kHz by means of a digital recorder (DR-M3 TEAC Co.).

Table 2 summarizes the conducted impact experiments considered in this paper. Two series of experiments were performed for specimen of type A2 with different combinations of impact mass and velocity. One series is performed varying the momentum and the other series varying the kinetic energy. For the specimen other than type A2, tests were performed under constant conditions in which the mass is 300 kg and the impact velocity is 5 m/s.

3 Experimental results

3.1 Characteristic values of impact

Figure 5 shows the time response of impact force, impact force-displacement curve and characteristic values resulted from those relations:

- Impulse $I_p$: integration of force-time curve.
- The absorbed energy $E_p$: integration of force-displacement curve.
- The mean impact force $P_m$: impulse $I_p$ divided by duration of impact force $T_d$.

The results of the impact experiments (Table 3) are correlated with the energy consumed by the deformation of the beams. For the experiments Nos. 10–21 average values of several experiments are shown.
Fig. 5. Characteristic values. (a) Time response of impact force. (b) Force-displacement curve.

![Diagram](image-url)

**Table 2.** Overview of impact tests.

<table>
<thead>
<tr>
<th>No.</th>
<th>Specimen</th>
<th>Falling weight</th>
<th>Impact velocity</th>
<th>Potential energy</th>
<th>Impact momentum</th>
<th>No. of beams</th>
</tr>
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<tr>
<td></td>
<td></td>
<td>(m) (kg)</td>
<td>(V_{col}) (m/s)</td>
<td>(E_{col}) (J)</td>
<td>(M_{col}) (N s)</td>
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**Table 3.** Experimental results.

<table>
<thead>
<tr>
<th>No.</th>
<th>Maximum impact force (P_{max}) (kN)</th>
<th>Impulse (I_p) (N s)</th>
<th>Duration of impact force (T_d) (ms)</th>
<th>Mean impact force (P_m) (kN)</th>
<th>Absorbed energy (E_p) (J)</th>
<th>Maximum displacement (\delta_{max}) (mm)</th>
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<td>49.0</td>
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<td>43.9</td>
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</table>

Note: No. 10 to No. 21 show average values.

### 3.2 Impulse and duration of impact force

Figure 7a shows the impulse \(I_p\) in relation to the ultimate bending strength \(P_u\) for experiments with a constant momentum at the time of collision of 1500 Ns. The impulse are about 2000 Ns and do not vary with the type of the beams. Therefore, it can be expected that the impulse depends on the momentum at the time of collision. Figure 7b shows the relation between the momentum of the weight and the impulse. This relationship can be expressed with the following
Static bending strength $P_u$ (kN) 

$$I_p = 1.31 M_{\text{col}}.$$  

(1) 

Since rebounds were observed in all experiments, it is supposed that the value 1.31 expresses this effect. The physical amount of impulse $I_p$ was 31% higher than the initial momentum of weight $M_{\text{col}}$. Therefore, the weight obtains a upward vertical velocity.

Figure 8a shows the impact force duration $T_d$ in relation to the ultimate bending capacity of the specimen for the experiments with a momentum at the time of collision of 1500 Ns. A tendency is observed that the impact force duration $T_d$ is decreasing when the static ultimate bending capacity is increasing. The displacement had reached the plastic range in all specimens. It was also revealed that the impact force duration is proportional to the momentum of weight at the time of collision. Hence, the impact force duration is proportional to the ratio of the momentum of the weight divided by the static ultimate bending capacity $M_{\text{col}}/P_u$ shown in Fig. 8b. The graph can be expressed with the following equation.

$$T_d = 1.06 M_{\text{col}}/P_u.$$  

(2) 

It is noted that this equation is not valid for smaller impact intensities where the beam remains in the elastic range and no plastic deformations occur.

### 3.3 Maximum displacement of the beam

Figure 9 shows the time response of the displacement at the midspan for the case of a beam type A2 impacted by a mass of weight of 300 kg and a velocity of $V_{\text{col}}=5$ m/s. Large plastic strains are observed in the main reinforcement that resulted in large remaining displacements.

Figure 10 shows the relationship between the mean impact force $P_m$ and the maximum displacement $\delta_{\text{max}}$ at midspan. In the case of an impact energy of $E_{\text{col}}=3750$ J, it is observed that the mean impact force $P_m$ decreases with increasing maximum displacements in an inverse proportion. The two lines shown in Fig. 10 indicate the approximation curve for the impact with energies $E_{\text{col}}=3750$ and 1800 J. Thus, the...
The maximum displacement is proportional to the impact energy $E_{\text{col}}$.

$$\delta_{\text{max}} = \frac{\beta E_{\text{col}}}{P_m}$$  \hspace{1cm} (5)

From Eqs. (3) and (4), the maximum displacement $\delta_{\text{max}}$ can be expressed by the Eq. (5).

$$\delta_{\text{max}} = \frac{\beta E_{\text{col}}}{P_m}$$  \hspace{1cm} (5)

The maximum displacement is proportional to the impact energy $E_{\text{col}}$ and is inverse proportional to the mean impact force $P_m$. Figure 11 shows the relation between the maximum displacement $\delta_{\text{max}}$ and the ratio impact energy to mean impact force $E_{\text{col}}/P_m$ for each a type of all reinforced concrete beam. The maximum displacement $\delta_{\text{max}}$ is proportional to the ratio of impact energy to mean impact force $E_{\text{col}}/P_m$ for most of the beams, excepted for the beam type A4, where the maximum displacement is slightly smaller.

Figure 12 shows the time response of the impact force for test No. 16 (beam type A4, impact velocity $V_{\text{col}}$=5 m/s and mass=300 kg). The initial impact occurs within 10 ms. After that, the impacting mass rebounds and no forces are transmitted from 10 ms to 25 ms.

The natural period of beam type A4 is about 40 ms. Therefore, the ratio of initial contact time to natural period $T_d/T_0$ is about 0.25. For the other experiments, the ratios are in the range of 2.4 to 5.2. Here, $T_0$ is the natural period of the beam shown in Table 1, $T_d$ is the impact force duration, which was directly determined from time response as shown in Fig. 5 or

mean impact force is expressed with the following equation.

$$P_m = \alpha \cdot \frac{1}{\delta_{\text{max}}}$$  \hspace{1cm} (3)

where $\alpha$ is a proportionality constant. Based on the results of 20 experiments for a kinetic energy of 3750 J (shown in Table 2, from No 14 to 21) $\alpha$ is found as 2452.

In the case of other impact energies from very few experimental results, we can observe that this relation is inverse proportional. The proportionality constant $\alpha$ in Eq. (3) has tendency to increase, for increasing impact energy $E_{\text{col}}$. Therefore, a constant of proportionality $\beta$ for the impact energy $E_{\text{col}}$ is assumed as shown in Eq. (4).

$$\alpha = \beta E_{\text{col}}$$  \hspace{1cm} (4)

From Eqs. (3) and (4), the maximum displacement $\delta_{\text{max}}$ can be expressed by the Eq. (5).

$$\delta_{\text{max}} = \frac{\beta E_{\text{col}}}{P_m}$$  \hspace{1cm} (5)
12. It can be considered that the influence of the duration of impact on the maximum deflection for the beam type A4 is small.

We excluded the beam type A4 shown in Fig. 11 for the approximation equation using the method of least squares. The maximum displacement $\delta_{\text{max}}$ of the reinforced concrete beams is expressed with following equation.

$$\delta_{\text{max}} = 0.710 \frac{E_{\text{col}}}{P_m}$$

(6)

Here, the correlation coefficient $R^2$ is 0.962 and the duration of impact $T_0$ used to calculate the mean impact force $P_m$ is the duration of the first impact until rebound.

On the other hand, the mean force $P_m$ is the impulse divided by the duration of impact force. In this study, the utilization of the mean force $P_m$ is possible, due to the measurements of the impact force. However, it is generally difficult to predict this value $P_m$. The ultimate bending strength $P_u$ is the typical characteristic value for a reinforced concrete beam. If it is possible to use $P_u$ instead of $P_m$, the estimation of dynamic behavior becomes easily and it gives significant benefit towards a performance based design of structure under impact. There is a positive correlation between the mean impact force $P_m$ and the static ultimate bending capacity $P_u$ from Eqs. (1) and (2). Here, we assume an approximation expressed with the following equation visualized in Fig. 13.

$$P_m = 1.36 P_u$$

(7)

From Eqs. (6) and (7), the following equation is drawn.

$$\delta_{\text{max}} = 0.522 E_{\text{col}} / P_u$$

(8)

Figure 14 shows the relationship between the maximum displacement $\delta_{\text{max}}$ and the ratio kinetic energy to ultimate bending strength $E_{col}/P_u$, for which except for beam type A4, the collision velocity is 5 m/s and the impact mass is 300 kg. It becomes clear that the maximum displacement $\delta_{\text{max}}$ of the reinforced concrete beam can be calculated by the kinetic energy of the falling weight $E_{\text{col}}$ and the static ultimate bending capacity $P_u$. The maximum displacement of the beam types A2, B and F under same impact conditions ($m=300$ kg and $V=5$ m/s) are also shown in Fig. 14. It is seen that the maximum displacement of the beam type A2 suits well with the estimated Eq. (8), and that the maximum displacements of the beam types B and F slightly deviate from Eq. (8). Nevertheless, the static ultimate bending capacities of the three beams are almost similar. The bending stiffness EI of the three beams varies largely and they influence the absorbed energy and the maximum displacement. It is noted that the Eq. (8) is valid under the condition of the ratio initial contact time to natural period $T_d/T_0 \geq 2.4$.

### 3.4 Verification of results

In order to verify the proposed equation, results are compared with other experimental research (Kishi et al., 2000) and numerical results (Tachibana, 2007). The accuracy of the FEM analysis was evaluated by comparison with experimental results (Masuya et al., 2007). Figure 15 shows the details of the reinforced concrete beams used in the comparison, and Table 4 summarizes the impact conditions and the maximum displacements. The concrete design strength is 24.0 MPa, the steel yield stress of the main reinforcement is 345 MPa and the yield stress of the shear reinforcement is 295 MPa. The diameter of the shear reinforcement is 6 mm for the beam type G and 10 mm for the beam type H. The ultimate bending capacity is 49.0 kN for the beam type G and 84.9 kN for the beam type H. An impact mass of 300 kg is used for all experiments.
Table 4. Impact conditions and maximum displacements.

<table>
<thead>
<tr>
<th>Type</th>
<th>Diameter of bending reinforcement</th>
<th>Mass of weight (kg)</th>
<th>Impact velocity (V_{\text{col}}) (m/s)</th>
<th>Kinetic energy of weight (E_{\text{col}}) (J)</th>
<th>Ultimate bending capacity (P_u) (kN)</th>
<th>Maximum displacement (\delta_{\text{max}}) (mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>G-1</td>
<td>D19</td>
<td>300</td>
<td>5.0</td>
<td>3750</td>
<td>49.0</td>
<td>45.8</td>
</tr>
<tr>
<td>G-2</td>
<td>D19</td>
<td>300</td>
<td>6.0</td>
<td>5400</td>
<td>49.0</td>
<td>60.9</td>
</tr>
<tr>
<td>H-1</td>
<td>D22</td>
<td>500</td>
<td>3.13</td>
<td>2450</td>
<td>84.9</td>
<td>20.5</td>
</tr>
<tr>
<td>H-2</td>
<td>D22</td>
<td>500</td>
<td>4.20</td>
<td>4410</td>
<td>84.9</td>
<td>33.2</td>
</tr>
<tr>
<td>G-2(FEM)</td>
<td>D19</td>
<td>300</td>
<td>6.0</td>
<td>5400</td>
<td>49.0</td>
<td>60.6</td>
</tr>
<tr>
<td>H-2(FEM)</td>
<td>D22</td>
<td>500</td>
<td>4.20</td>
<td>4410</td>
<td>84.9</td>
<td>37.7</td>
</tr>
<tr>
<td>A2(FEM)</td>
<td>D13</td>
<td>300</td>
<td>5.0</td>
<td>3750</td>
<td>33.3</td>
<td>58.9</td>
</tr>
</tbody>
</table>

Numerical analyses by FEM were carried out for the beam types G-2, H-1 and A2, shown in Table 1. The impact behavior of the reinforced concrete beams was analyzed using the finite element code ADINA (Automatic Dynamic Incremental Nonlinear Analysis) (Bathe, 1996). Figure 16 shows the finite element model used for beam type A2.

Drucker-Prager yield criterion is used for the material model of concrete. A bilinear model is used for steel reinforcement, where 0.4% of the Young modulus \(E_s\) is used for the plastic hardening \(H'\).

Strain rate effects for concrete and steel are considered by means of a DIF (Dynamic Increase Factor). The DIF values for tensile and compressive strength for concrete and yield strength of steel reinforcement are DIF\(_{\text{ct}}\)=1.2, DIF\(_{\text{cc}}\)=1.0 and DIF\(_s\)=1.2, respectively.

These values are taken from research that aimed predicting the dynamic failures of reinforced concrete beams by means of various numerical methods (Kishi et al., 2008; Subcommittee of Impact Problems, 2004).

Figure 17 shows the relation between these maximum displacements and impact energy divided by the static ultimate bending capacity with the proposed equation. The result from this equation is in good agreement with the additional experimental results and analytical results.

Therefore, it is considered that the proposed equation can be applied to estimate the maximum displacements of the reinforced concrete beams subjected to slow velocity impacts, which reach the inelastic range.

3.5 Applicability for a performance based design

Performance based design allows for satisfying performance requirements of structures without the restraint from the traditional design regulations. The impact loading is generally the most important action on protection structures such as rock sheds. Although rockfall is an accidental event for general structures such as bridges, it can be not considered as an accidental action for protection structures against rockfalls since protection of people from rockfalls is the
Table 5. The levels of impact action and the performance criterions of a protection structure or a structural member.

<table>
<thead>
<tr>
<th>Impact action frequency</th>
<th>Damage</th>
<th>Safety</th>
<th>Serviceability</th>
<th>Repair</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level 1</td>
<td>No damage</td>
<td>Safe to traffic vehicles and passing persons</td>
<td>No obstacle</td>
<td>No need</td>
</tr>
<tr>
<td></td>
<td>The action corresponding to the maximum energy with the occurrence expected to be once or twice during several decades or the design working period of the road.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Level 2</td>
<td>Damage is limited within an allowable range.</td>
<td>Safe to traffic vehicles and passing persons</td>
<td>No obstacle</td>
<td>Small-scale repair</td>
</tr>
<tr>
<td></td>
<td>The action corresponding to the maximum energy with the occurrence expected to be within 100 years.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Level 3</td>
<td>There is no fatal damage.</td>
<td>Safe to passing persons</td>
<td>Traffic restriction</td>
<td>Repair or reinforcement</td>
</tr>
<tr>
<td></td>
<td>The action corresponding to the largest energy with the possibility of occurrence by strong earthquake etc.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Fig. 17. Proposed equation and other results.

original purpose of the structure. Therefore, dealing with the impact load as an identified variable action or as an action based on a certain scenario seems more appropriate rather than as an accidental action. Table 5 shows exemplary levels of impact action and performance criterions of a protection structure. The relationships between the impact action levels and the performance criterions are generally related to the importance of the planned protection structure. It is consequently thought that the classification of the loads according to action levels is required for a performance based design.

Although the outcome of this research can not be directly applied to all types of protection structures, it shows a considerable concept to treat the flexural deflections of structures due to impact loading. Based on the action level shown in Table 5, the collision energy can be specified and the maximum displacement of the beam can be determined by Eq. (8). Consequently, it can be assessed whether the resulting damage of the beam satisfies the performance criterions shown in Table 5. Subsequently, it can be verified whether adjustments in the design are necessary. In a similar manner, the maximum displacement of the beam can also be evaluated for other impact position and other support condition also can be evaluated in similar manner.

It is expected that the method shown here can be also applied to other protection structures in the future. For that scope, further experimental data is needed, with and without shock absorbing layers. This method can not be applied to cases where shear failure occurs. It is also necessary to have more research regarding the analysis technique such as Finite Element Method with high reproducibility (Masuya et al., 2006; Kishi et al., 2008), which would allow for further parameter studies and enhancement of the proposed equations.

4 Conclusions

Series of impact experiments were carried out aiming to obtain fundamental data to establish a performance based design of reinforced concrete beams with preceding bending failure (the margin degree of static shear capacity to static bending capacity $\alpha \geq 1$). The reinforcement, sectional dimensions and span lengths of the reinforced concrete beams were varied. The static ultimate bending capacity of these reinforced concrete beams ranges from 16.7 kN to 66.7 kN. Also, the impact energy varies from 150 J to 5400 J based on the variation of mass and impact velocity. From the impact experiments various results and their relations were registered for the different beam types. Also the estimation of bending displacement of reinforced concrete beam due to impact has been investigated.

The results obtained by this study are concluded as follows:

1. The impulse resulting from the impact is proportional to the momentum of the impacting mass and the impact force duration, and is directly proportional to the momentum of the impacting mass divided by the
static ultimate bending capacity $M_{col}/P_u$ with respect to all kind of reinforced concrete beams.

2. The maximum displacement is inverse proportional to the mean impact force. The maximum displacement is in proportional relation to the value of the mean impact force divided by impact energy $E_{col}/P_{in}$.

3. The relation between the mean impact force and the static ultimate bending capacity is shown. It is clear that the maximum displacement of the beam is almost proportional to the impact energy divided by the static ultimate bending capacity $E_{col}/P_u$.

4. It is determined that the estimated equation with respect to the maximum displacement of reinforced concrete beams can be calculated by the kinetic energy and velocity of the impacting mass and the static ultimate bending capacity. The verification of the proposed equation was made by comparisons with other experimental results and results from finite element method. It has been shown that the approach and the concept to estimate the maximum bending displacement of the structure under impact, allows for a performance based design of protection structures.

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